

Financial Services Guide

Version: 20 May 2024

Licensee:

Boutique Advisers Pty Ltd
(AFSL 554650)

The FSG is authorised for distribution by Boutique Advisers.

Authorised Representatives:

Boutique Advisers Private Wealth Pty Ltd
(ASIC # 1309403) (ABN 55 056 631 157)
Gary Hasler (ASIC # 245978)
Andrew Bolingbroke (ASIC # 241857)
Damien Quirk (ASIC # 438745)
Karen Haarhoff (ASIC # 1278794)
Kim Bailey (ASIC # 241867)
Neil Cocker (ASIC # 295463)
Sean Hocking (ASIC # 1268660)

The Authorised Representatives act on behalf of Boutique Advisers who is responsible for the services they provide.

Contact Details:

Level 3, 1 Outridge Crescent
Subiaco WA 6008
(08) 9381 8779

Purpose of this FSG

This FSG will help you decide whether to use the services that we* offer. It contains information about:

- The services we offer and their cost
- Any conflicts of interest which may impact the services
- How we are remunerated
- How we deal with complaints if you are not satisfied with our services.

* In this document, we refers to the Authorised Representatives listed above.

Our services

We are authorised to provide personal advice, general advice and dealing services in the following areas:

- Superannuation and SMSF
- Retirement planning
- Portfolio management
- Personal risk insurance
- Managed investments
- Securities
- Margin lending

Except that Karen is not authorised to provide margin lending services.

The financial advice process

We recognise that the objectives and personal circumstances of each client are different.

Where we provide personal advice, we will listen to you to understand your objectives and circumstances. We will also ask questions to make sure we provide advice which is in your best interests.

When we first provide personal advice to you it will be explained thoroughly and documented in a Statement of Advice (SoA) which you can take away and read.

The SoA will explain the basis for our advice, the main risks associated with the advice, the cost to you of implementing the advice, the benefits we receive and any conflicts of interest which may influence the advice.

We will provide you with a Product Disclosure Statement (PDS) where we recommend a financial product other than securities. This contains information to help you understand the product being recommended.

At all times you are able to contact us and ask questions about our advice and the products we recommend.

You can provide instructions to us in writing, via phone or via email. In some cases, we may require you to provide signed instructions. We may provide further advice to you to keep your plan up to date for changes in your circumstances, changes in the law and changes in the economy and products.

If we provide further advice it will be documented in a Record of Advice which we retain on file. You can request a copy of the RoA document at any time up to 7 years after the advice is provided.

Fees

Initial Advice Fee

The initial advice fee includes meeting with you, the time we take to determine our advice and the production of the SoA. It is based on the scope and complexity of advice provided to you. We will agree the fee with you before providing you with advice.

If you decide to proceed with our advice, we may charge an implementation fee for the time we spend assisting you with implementation. We will let you know what the fee will be in the SoA.

Annual Advice Fee

Our annual fee depends on the services that we provide to you. It will typically be a fixed annual fee which is paid monthly. Our services and fees will be set out in an agreement with you.

Other Benefits

We may receive other benefits from product providers such as training, meals and entertainment. Details of any benefits received above \$100 will be maintained on a register which is available to you on request.

Adviser

Remuneration

Gary Hasler is the majority owner of the practice and he is remunerated through the profits that the practice makes and a salary.

Andrew Bolingbroke is a minority shareholder of the practice and is remunerated through a lesser share of the profits and a salary.

Employees of the practice are paid a salary. They may also receive a performance bonus which is based on a number of factors including the profits made by the practice.

Associated Businesses

We may refer you to Boutique Finance Group for credit and finance services. Boutique Finance Group and Boutique Advisers Private Wealth have common shareholders.

We may refer you to Boutique Insurance for advice on personal risk insurance. Boutique Insurance is a joint venture between Boutique Advisers Private Wealth and MBS Insurance WA. It operates under the Australian Financial Services Licence of MBS Advice Licence Pty Ltd.

Making a Complaint

We endeavour to provide you with the best advice and service at all times. If you are not satisfied with our services, then we encourage you to contact us. Please call us or put your complaint in writing to our office.

If you are not satisfied with our response you can refer it to the Australian Financial Complaints Authority. You can contact AFCA on 1800 931 678 or via their website www.afca.org.au. AFCA provides a fair and independent complaint resolution service which is provided to you free of charge.

Boutique Advisers holds Professional Indemnity insurance which satisfies the requirements for compensation arrangements under section 912B of the Corporations Act. It covers the financial services provided by current and past representatives.

Your Privacy

We are committed to protecting your privacy.

We have a Privacy Policy which sets out how we collect, hold, use and disclose your personal information. It also sets out how you can access the information we hold about you, how to have it corrected and how to complain where you are not satisfied with how we have handled your personal information.

Our Privacy Policy is available on request and on our website.